



June 4, 2021

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Announcement of scheduled listing of 4 ETFs to the Tokyo Stock Exchange;  
-ETFs focusing on growth expectations in the era of digitalization, aging, and globalization-

Global X Japan Co. Ltd. (President: Akihiko Kanamura) announced that on June 4, the Tokyo Stock Exchange approved listing of the following four ETFs was approved by the Tokyo Stock Exchange. Global X Japan Robotics & AI ETF (investing in the robotics & AI-related companies), Global X Japan Bio & Med Tech ETF (investing in the bio & med tech-related company), Global X Japan Games & Animation ETF (investing in the game & animation-related companies), Global X Japan Global Leaders ESG ETF (investing in companies with the high overseas sales ratio, overseas customers ratio, and global market shares)

Bio & med tech and game & animation ETFs are the first ETFs in Japan.<sup>1</sup>

The ETFs are scheduled to be listed on June 23, 2021.

Global X Japan Robotics & AI ETF aims to capture growth in the robotics & AI-related field, where demand is expected to increase due to the aging of society. Focusing on eight sub-themes such as industrial robots, IoT, and big data, investment stocks are selected among these.

Global X Japan Bio & Med Tech ETF aims to capture growth in the bio & med tech (medical technology) related field, where demand is expected to increase due to longer lifespans. Focusing on four sub-themes such as biopharmaceuticals and medical devices, investment stocks are selected among these.

Global X Japan Games & Animation ETF aims to capture growth in the game & animation-related field, where Japan has the strength and is expected to grow through digital distribution. Focusing on seven sub-themes such as mobile game and esports, investment stocks are selected among these.

Global X Japan Global Leaders ESG ETF aims to select stocks with the overseas sales ratio of 25% or more and overseas customer ratio of 50% or more, as well as the top three global market shares in the sector.

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<sup>1</sup> According to the Global X Japan's research as of June 4, 2021.

# GLOBAL X

## Notes

Stock name	Global X Japan Robotics & AI ETF	Global X Japan Bio & Med Tech ETF
Stock code	2638	2639
Target index	Indxx Japan Robotics & AI Index	FactSet Japan Bio & MedTech Index
Distribution frequency	Semi-annually	Semi-annually
Fund Overview	ETFs are aimed at linking them to Indxx Japan Robotics & AI Index. This index is composed of Japanese companies related to the robotics & AI.	ETFs are aimed at linking them to FactSet Japan Bio & MedTech Index. The index is composed of Japanese companies conducting business related to the bio & med tech.
Operational management expenses (Trust fees) <sup>2</sup>	0.649% per annum (0.59% excluding tax) or less of total net assets Breakdown: 0.6215% for settlor company (0.565% excluding tax), and 0.0275% for trustee company (0.025% excluding tax) Within 55.0% (50.0% excluding tax) of premium of securities lending transactions	0.649% per annum (0.59% excluding tax) or less of total net assets Breakdown: 0.6215% for settlor company (0.565% excluding tax), and 0.0275% for trustee company (0.025% excluding tax) Within 55.0% (50.0% excluding tax) of premium of securities lending transactions

Stock name	Global X Japan Games & Animation ETF	Global X Japan Global Leaders ESG ETF
Stock code	2640	2641
Target index	Solactive Japan Games & Animation Index	FactSet Japan Global Leaders ESG Index
Distribution frequency	Semi-annually	Semi-annually
Fund Overview	ETFs are aimed at linking them to Solactive Japan Games & Animation Index. The index is composed of Japanese companies related to the game and animation.	ETFs are aimed at linking them to FactSet Japan Global Leaders ESG Index. This index is composed of Japanese companies with high overseas sales ratio, overseas customer ratio, and global market shares.
Operational management expenses (Trust fees) <sup>2</sup>	0.649% per annum (0.59% excluding tax) or less of total net assets Breakdown: 0.6215% for settlor company (0.565% excluding tax), and 0.0275% for trustee company (0.025% excluding tax) Within 55.0% (50.0% excluding tax) of premium of securities lending transactions	0.3025% per annum (0.275% excluding tax) or less of total net assets Breakdown: 0.275% for settlor company (0.25% excluding tax), and 0.0275% for trustee company (0.025% excluding tax) Within 55.0% (50.0% excluding tax) of premium of securities lending transactions

<sup>2</sup> In addition to trust fees, there are trading commissions determined by securities companies, etc., as well as various expenses incurred indirectly during the holding period of ETFs.

# GLOBAL X

- Warning before handling this material

This material was prepared by Global X Japan Co. Ltd. to provide information about its funds' performance and other operation-related facts. Potential investors should refer to and read the prospectus of such fund product for more detailed information prior to their investment decision. Since investment trusts will invest in stocks whose prices fluctuate, the NAV of this fund could possibly decline and cause a loss in investment. The investors' principals thus are not guaranteed and the fund contains risk that the NAV may fall below its par value. All the gains and losses accrued to the trust assets will be attributable to the investors. Investment trusts are different from deposits and insurance policies. Investment trusts are not protected by the Deposit Insurance Corporation of Japan and insurance policyholders protection corporations. Investment trusts which are not purchased from securities companies are not protected by the Japan Investor Protection Fund. The information, statements, forecasts and projections contained herein, including any expression of opinion, are based upon sources believed to be reliable, but their accuracy, correctness or completeness are not guaranteed. The performance of investments, if referred to herein, is based on past data and is neither necessarily an indication nor a guarantee of future performance of investments. The performance data in this material does not consider any tax or fees if there were any, and will not provide a final return for potential investors. Global X Japan Co. Ltd. made all reasonable efforts to ensure that the information contained herein is current, but it is subject to change without notice. The dividend amount is to be determined, based on the dividend distribution policy of such fund product, by the investment manager, who will not promise or guarantee any fixed amount of dividend before investment. In some cases, the investment manager might decide to skip a dividend payment for the correspondent period due to performance reason. The companies introduced in this material are for reference purposes only and are not intended as recommendations for individual companies. It is not intended to suggest or guarantee inclusion in ETFs.

# GLOBAL X

● Fund's expense

Costs directly borne by investors		
	Rates, etc.	Details of expenses
Acquisition fee	By dealers Shall be determined.	Consideration for the provision of product explanations or product information at the time of acquisition, the provision of investment information, and the execution of transactions.
Reserves for trust assets	None.	—
Commission at the time of exchange	By dealers Shall be determined.	Consideration for administrative work related to the exchange of beneficial interests.
Expenses borne indirectly by investors in trust assets		
	Rates, etc.	Details of expenses
Operational management expenses (Trust fees)		<p>&lt;Global X Japan Robotics &amp; AI ETF &gt; Trust fee is calculated daily as sum of 1 and 2 as shown below during the holding period.</p> <p>1. The amount obtained by multiplying the total net assets of the trust assets by the ratio up to 0.649% per annum (0.59% before tax).</p> <p>2. The amount obtained by multiplying lending charges due to lending of stocks held in the trust assets by up to 55% (50% before tax).</p> <p>&lt;Global X Japan Bio &amp; Med Tech ETF &gt; Trust fee is calculated daily as sum of 1 and 2 as shown below during the holding period.</p> <p>1. The amount obtained by multiplying the total net assets of the trust assets by the ratio up to 0.649% per annum (0.59% before tax).</p> <p>2. The amount obtained by multiplying lending charges due to lending of stocks held in the trust assets by up to 55% (50% before tax).</p> <p>&lt;Global X Japan Games &amp; Animation ETF &gt; Trust fee is calculated daily as sum of 1 and 2 as shown below during the holding period.</p> <p>1. The amount obtained by multiplying the total net assets of the trust assets by the ratio up to 0.649% per annum (0.59% before tax).</p> <p>2. The amount obtained by multiplying lending charges due to lending of stocks held in the trust assets by up to 55% (50% before tax).</p> <p>&lt;Global X Japan Global Leaders ESG ETF &gt; Trust fee is calculated daily as sum of 1 and 2 as shown below during the holding period.</p> <p>1. The amount obtained by multiplying the total net assets of the trust assets by the ratio up to 0.3025% per annum (0.275% before tax).</p> <p>2. The amount obtained by multiplying lending charges due to lending of stocks and REITs held in the trust assets by up to 55% (50% before tax).</p>
Other expenses Commissions		<p>Commissions associated with securities transactions (including brokerage commissions on trade of securities, fees for future transactions and option transactions) and auditing fees shall be charged to the trust assets.</p> <p>Fund Listing Expenses and Annual Fees for Use of Trademarks of the Index with tax levied on them can be borne by the unit holders and can be paid from the trust assets.</p>

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