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Announcement of scheduled listing of 2 ESG related ETFs to the Tokyo Stock Exchange;  
-Governance and financial quality focused ETF and environment technology focused ETF-

Global X Japan Co. Ltd. (President: Akihiko Kanamura) announced that on March 12, the Tokyo Stock Exchange approved listing of the Global X MSCI Governance-Quality Japan ETF, which invests considering the governance and financial quality, and the Global X CleanTech ESG Japan ETF, which invests in the environment related technology stocks. These are the first ETFs investing in the governance and cleantech in Japan.<sup>1</sup>

The ETFs are scheduled to be listed on March 31, 2021.

The Global X MSCI Governance-Quality Japan ETF aims to invest considering evaluation of the governance and financial quality. Governance is evaluated by 11 factors such as diversity of the board member and financial quality is done by 3 factors such as stability of the income, and invested stocks are selected.

The Global X CleanTech ESG Japan ETF selects the stocks to invest by 3 factors; Energy Storage & Efficiency, Pollution & Resource Management and Renewable Energy industries.

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<sup>1</sup> According to the Global X Japan's research as of January 8, 2021.

# GLOBAL X

## Notes

ETF Name	Global X MSCI Governance-Quality Japan ETF	Global X CleanTech ESG Japan ETF
Stock Code	2636	2637
Target Index	MSCI Japan Governance-Quality Index	FactSet Japan CleanTech & Energy Index
Frequency of distribution	Semi-annually	Semi-annually
Fund Overview	The ETF aims to link to the MSCI Japan Governance-Quality Index. The index is composed of Japanese companies selected in terms of governance and financial quality.	The ETF aims to link to the FactSet Japan CleanTech & Energy Index. The index is composed of Japanese companies conducting business related to cleantech.
Operating Management expense (Trust Expense) <sup>2</sup>	0.3025% per annum (0.275% excluding tax) or less of total net assets Breakdown: 0.275% for settlor company (0.25% excluding tax), and 0.0275% for trustee company (0.025% excluding tax) Within 55.0% (50.0% excluding tax) of premium of securities lending transactions	0.649% per annum (0.59% excluding tax) or less of total net assets Breakdown: 0.6215% for settlor company (0.565% excluding tax), and 0.0275% for trustee company (0.025% excluding tax) Within 55.0% (50.0% excluding tax) of premium of securities lending transactions

This material was prepared by Global X Japan as a press release and is not disclosed in accordance with the Financial Instruments and Exchange Act. This material is not intended to solicit investment. When trading on an exchange, please check the content of the listed securities and other documents issued by each distributor and make your own judgment. When making a request for acquisition (purchase) or exchange (liquidation) at a distributor, please be sure to check the content of the investment trust description (delivery prospectus) and make your own judgment. The contents of this document are as of the time of preparation and may be changed without prior notice. This material has been prepared based on information deemed reliable, but does not guarantee its accuracy, completeness, etc. Regarding the offering of the Fund, the settlor company submitted the securities registration statement to the Director-General of the Kanto Local Finance Bureau on March 12, 2021 pursuant to the provisions of Article 5 of the Financial Instruments and Exchange Act. However, the application has not taken effect. Therefore, the content of this report may be revised before the report becomes effective. Investment trusts are invested in securities with price movements, and their Net Asset Value fluctuates significantly. Therefore, the investment principal is not guaranteed. All profits and losses incurred by in the trust asset belong to the investor. Investment trusts are different from deposits and savings.

<sup>2</sup>In addition to operating management expense, there are other costs, such as transaction costs set by securities companies, and indirect costs incurred during the holding period of ETFs.