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Global X Japan listed 2 thematic ETFs on the TSE.

Global X Japan Co. Ltd. (President: Akihiko Kanamura) announced that the following two ETFs were listed on the Tokyo Stock Exchange on December 8.

Global X Japan Fintech ETF (2836),
Global X Japan Mid and Small Cap Leaders ESG ETF (2837),

These were developed in light of the global trends of Cashless, DI promotion and globalization. ETFs whose investment theme for Fintech related is the first in Japan.¹

The Global X Japan Fintech ETF aims to track Indxx Japan Fintech Index. The index is composed of stocks listed in Japan, classified into nine sub-theme of Fintech industry and then selected as “Pure Play stocks” (with more than 50% of their sales coming from sub-themes related industries) or “Quasi Play stocks”(with 20 to 50% of their sales coming from sub-themes related industries). The index is based on the selection of stocks fall into Pure Play or Quasi Play categories. The demand in this field is expected to grow with the cashless and DI promotion.

The Global X Japan Mid and Small Leaders ETF aims to track FactSet Japan Mid & Small Cap Leaders ESG Index. The index is composed of stocks listed in Japan, classified into about 780 sectors with using FactSet’s extensive and sophisticated database and which possess high global or domestic market shares. The ratio of each stock is determined based on ESG rating. With the acceleration of globalization, which unifies markets worldwide, and the drastic change in the domestic industries due to digitalization and other factors, Japanese small-medium sized companies with a strong presence are expected to grow further in the future

Akihiko Kanamura, President of Global X Japan Co. Ltd. said, " We believe that the Japanese ETF market will continue to grow in the future on the trend of long-term, diversification, and low-cost in the wealth management. AUM of thematic ETFs is expanding in the U.S. As a thematic and ESG related ETF pioneer in Japan, we aim to provide various investment means with investors in Japan.”

¹ According to the Global X Japan’s research as of December 8, 2021.

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Notes

Stock name	Global X Japan Fintech ETF	Global X Japan Mid & Small Cap Leaders ESG ETF
Stock code	2836	2837
Target index	Indxx Japan Fintech Index	FactSet Japan Mid & Small Cap Leaders ESG Index
Distribution frequency	Semi-annually	Semi-annually
Fund Overview	ETFs are aimed at linking them to Indxx Japan Fintech Index. This index is composed of Japanese companies related to the Fintech.	ETFs are aimed at linking them to FactSet Japan Mid and Small Cap Leaders ESG Index. The index is composed of small and mid-cap blue chip Japanese stocks.
Operational management expenses (Trust fees) ²	0.649% per annum (0.59% excluding tax) or less of total net assets Breakdown: 0.6215% for settlor company (0.565% excluding tax), and 0.0275% for trustee company (0.025% excluding tax) Within 55.0% (50.0% excluding tax) of premium of securities lending transactions	0.3025% per annum (0.275% excluding tax) or less of total net assets Breakdown: 0.275% for settlor company (0.25% excluding tax), and 0.0275% for trustee company (0.025% excluding tax) Within 55.0% (50.0% excluding tax) of premium of securities lending transactions

- Warning before handling this material

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² In addition to trust fees, there are trading commissions determined by securities companies, etc., as well as various expenses incurred indirectly during the holding period of ETFs.

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Costs directly borne by investors		
	Rates, etc.	Details of expenses
Acquisition fee	By dealers Shall be determined.	Consideration for the provision of product explanations or product information at the time of acquisition, the provision of investment information, and the execution of transactions.
Reserves for trust assets	None.	—
Commission at the time of exchange	By dealers Shall be determined.	Consideration for administrative work related to the exchange of beneficial interests.
Expenses borne indirectly by investors in trust assets		
	Rates, etc.	Details of expenses
Operational management expenses (Trust fees)	<p><Global X Japan Fintech ETF > Trust fee is calculated daily as sum of 1 and 2 as shown below during the holding period.</p> <ol style="list-style-type: none"> 1. The amount obtained by multiplying the total net assets of the trust assets by the ratio up to 0.649% per annum (0.59% before tax). 2. The amount obtained by multiplying lending charges due to lending of stocks held in the trust assets by up to 55% (50% before tax). <p><Global X Japan Mid & Small Cap Leaders ESG ETF > Trust fee is calculated daily as sum of 1 and 2 as shown below during the holding period.</p> <ol style="list-style-type: none"> 1. The amount obtained by multiplying the total net assets of the trust assets by the ratio up to 0.3025% per annum (0.275% before tax). 2. The amount obtained by multiplying lending charges due to lending of stocks held in the trust assets by up to 55% (50% before tax). 	
Other expenses Commissions	<p>Commissions associated with securities transactions (including brokerage commissions on trade of securities, fees for future transactions and option transactions) and auditing fees shall be charged to the trust assets.</p> <p>Fund Listing Expenses and Annual Fees for Use of Trademarks of the Index with tax levied on them can be borne by the unit holders and can be paid from the trust assets.</p>	

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