2867

Global X Autonomous & EV ETF

FUND OBJECTIVE

The Global X Autonomous & EV ETF seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the JPY converted Solactive Autonomous & Electric Vehicles Index.

KEY FEATURES



High Growth Potential

Despite growth in global EV sales in 2022, EVs only accounted for less than 5% of new vehicle sales. Projections indicate that EVs will account for 55% of global vehicle sales in 2035 as the world moves towards net zero emissions.¹ 1 Rho Motion, September 2022.



Clean Technology Evolution

Since EVs have zero direct greenhouse gas emissions, wider adoption could mean less greenhouse gas emissions and improvement in urban air quality. Moreover, further advances in autonomous driving will contribute to traffic safety measures.²

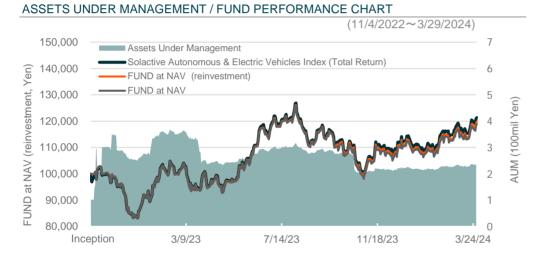
2 US Office of Energy Efficiency & Renewable Energy, January 2020.



Unconstrained Approach

Since automous vehicles or EVs are not topics covered by a single company, the Global X Autonomous & EV ETF invests in global assets across numerous sectors and industries.

As of 3/29/2024 **FUND DETAILS** Inception Date 11/4/22 Solactive Autonomous & **Underlying Index** Electric Vehicles Index Number of Holdings Assets Under Management ¥2.37(100mil) ¥ 118,901 NAV (per 100 units 0.704%per annum Management Fee (0.64% before tax) Semi-Annually Distribution Frequency Closing date 3/24,9/24 TRADING DETAILS Securities code 2867 ISIN JP3049740008 Tokyo Stock Exchange Exchange SOLDRIV Index Ticker



PREVIOUS 12-PERIODS DISTRIBUTIONS				
per 100 units, be	efore taxes (Yen)			
3/24/23	200			
9/24/23	500			
3/24/24	200			
Total Distribution	900			
Since Inception	900			

PERFORMANCE					
	One Month	Year to Date	One Year	Three Year	Since Inception
FUND at NAV	+4.30 %	+5.95 %	+19.86 %		+18.90 %
FUND at NAV (reinvestment)	+4.48 %	+6.13 %	+20.61 %		+19.90 %
Solactive Autonomous & Electric Vehicles Index (Total Return)	+4.35 %	+6.02 %	+21.79 %		+21.34 %

TOP 10 HOLDINGS		% (of AUM
		Total :	30.55%
NVIDIA CORP	4.54%	QUALCOMM INC	2.83%
GLOBAL X AUTONOMOUS&ELEC-ETF	4.50%	INTEL CORP	2.56%
TOYOTA MOTOR CORP	3.35%	APPLE INC	2.52%
MICROSOFT CORP	2.97%	TESLA INC	2.19%
ALPHABET INC-CL A	2.89%	HONEYWELL INTERNATIONAL INC	2.17%

Fund performance assumes that dividends (before taxes) are reinvested in the fund. NAV value is calculated after deducting trust fees. In the graph, the value of (Solactive Autonomous & Electric Vehicles Index) is modified to match the fund NAV at the time of inception. The performance data quoted represents past performance and does not guarantee future results. Investment return and principal value of an investment will fluctuate so that an investor's shares, when sold or redeemed, may be worth more or less than their original cost. High short term performance of the fund is unusual and investors should not expect such performance to be repeated.





INDUSTRY BREAKDOWN

% of AUM

COUNTRY BREAKDOWN

% of AUM



Consumer Discretionary	32.85%
Information Technology	29.37%
Industrials	15.38%
Materials	13.19%
 Communication Services 	4.05%
•	
•	
•	
•	
Others*	5.16%

^{*}Others include cash, cash equivalents, and ETF.



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Concept & Key Points

- 1. Focus on autonomous & EV companies which have high growth potential
- 2. Select stocks with extensive industry classification system and natural language processing algorithm
- 3. Invests with global exposure across multiple sectors and industries
- 4. Reconstitute and rebalance portfolio, twice a year in January and July

Index construction process

Global Listed Stocks

Liquidity Screening

Market Cap of at least USD 500 million
Average daily trading volume of at least USD 2million
Free float percentage of total shares outstanding of at least 10% or
a minimum free float market cap of USD 1 billion

Portfolio Candidates

Extraction of stocks related to Autonomous and EV

Based on the industry classification provided by FactSet, stocks are extracted for each sub-theme.

<Sub-themes>

•EV

EV components

Autonomous Vehicle Technology

Final Candidates

75 Constituents

Selecting constituents

Final Portfolio

*Reconstitution and Rebalancing twice a year (January and July)

Screening of public information using natural language processing algorithms to select stocks with a high degree of relevance to each sub-theme

EV: 15 stocks

EV Components: 30 stocks (15 Components stocks + 15 Materials stocks) Autonomous Vehicle Technology: 30 stocks

Weight stocks by float-adjusted market cap. Individual stock's weight is capped at 3%.

The maximum weight of a stock that ranks in the bottom quintile of its respective sub-theme is 2%. (EV: bottom 3stocks, EV Components and Autonomous Vehicle Technology: bottom 6stocks)



Global X Japan Co. Ltd. Registration No.: Director of Kanto Local Finance Bureau (Financial Instruments Firms) No.3174 Member of Japan Investment Advisers Association Member of The Investment Trusts Association, Japan

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Investment Risks

The Fund will invest in financial instruments whose prices fluctuate and as a result the NAV per unit of the Fund will also fluctuate. Therefore the invested amount will not be guaranteed and a loss of principal may be caused. All the gains and losses accrued to the trust assets will be attributable to the investors. Investment trusts are not deposits.

Major factors to cause fluctuation of NAV per unit:

Price Volatility risks / Credit risks (Stock Price Volatility), Exchange risks, Country risks, Other risks

The performance of the NAV may not completely match that of the index. The factors to cause fluctuation of NAV per unit are not limited to those mentioned above. Please read "Investment Risks" in the fund's Prospectus describing in detail.

Fee Structure

<Fees directly charged to customers>

Subscription commission: Determined by the Distributor. (Subscription Commission is a consideration for explanation of products, provision of product information and investment information, and execution of application at the time of the subscription of the Fund)

Redemption Fee: Nil

Exchange commission: Determined by the Distributor.

(Exchange Commission is a consideration for administrative procedures for exchange of units of the Fund.)

<Fees indirectly charged during holding period>

Management Fee (Trust Fee):

Trust fee is calculated daily as sum of 1 and 2 as shown below during the holding period.

- 1. The amount obtained by multiplying the total net assets of the trust assets by the ratio up to 0.704% per annum (0.64% before tax).
- 2. The amount obtained by multiplying lending charges due to lending of stocks held in the trust assets by up to 55% (50% before tax).

Other Expenses and Brokerage Commissions

Fund Listing Expenses and Annual Fees for Use of Trademarks of the Index with tax levied on them can be borne by the unitholders, and can be paid from the trust assets.

Commissions associated with securities transactions (including brokerage commissions on trade of securities, fees for future transactions and option transactions) and auditing fees shall be charged to the trust assets.

*As expenses for brokerage commissions on trade of securities, etc. shall vary depending on circumstances, specific rate of fees or the maximum amounts thereof cannot be disclosed in advance.

**Please see further details described under "Expenses and Taxes of the Fund" in the Prospectus.

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