

2866

## Global X U.S. Preferred Security ETF

As of 3/29/2024



## FUND OBJECTIVE

The Global X U.S. Preferred Security ETF seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the JPY converted ICE BofA Diversified Core U.S. Preferred Securities Index.

## KEY FEATURES



## High Income Potential

Global X U.S. Preferred Security ETF invests in a broad basket of preferred securities in the U.S., an asset class that has historically offered high yield potential.



## Low Expense Ratio

Relatively low expense ratio compared to other ETFs that invest primarily in preferred securities.



## Monthly Distributions

The Global X U.S. Preferred Security ETF makes distributions on a monthly basis.

## FUND DETAILS

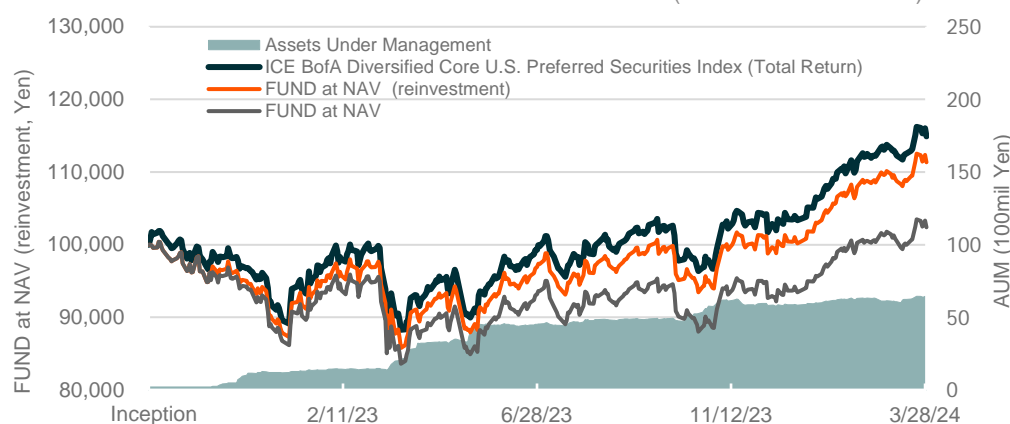
Inception Date	9/28/22
Underlying Index	ICE BofA Diversified Core U.S. Preferred Securities Index
Number of Holdings	1
Assets Under Management	¥ 63.79(100mil)
NAV (per 100 units)	¥ 102,400
Management Fee (actual cost)	0.2575% per annum (0.255% before tax)
Distribution Frequency	Monthly
Closing date	every 10th

## TRADING DETAILS

Securities code	2866
ISIN	JP3049730009
Exchange	Tokyo Stock Exchange
Index Ticker	PLCR4PM

## ASSETS UNDER MANAGEMENT / FUND PERFORMANCE CHART

(9/28/2022~3/29/2024)



## PREVIOUS 12-PERIODS DISTRIBUTIONS

per 100 units, before taxes (Yen)

4/10/23	400
5/10/23	400
6/10/23	400
7/10/23	500
8/10/23	400
9/10/23	500
10/10/23	500
11/10/23	400
12/10/23	500
1/10/24	400
2/10/24	500
3/10/24	500
<b>Total Distribution Since Inception</b>	<b>7,800</b>

## PERFORMANCE

	One Month	Year to Date	One Year	Three Year	Since Inception
FUND at NAV	+0.97 %	+9.61 %	+15.92 %	-----	+2.40 %
FUND at NAV (reinvestment)	+1.47 %	+11.17 %	+22.86 %	-----	+11.35 %
ICE BofA Diversified Core U.S. Preferred Securities Index (Total Return)	+1.44 %	+11.19 %	+24.05 %	-----	+14.93 %

## TOP 10 HOLDINGS

		% of AUM
GLOBAL X US PREFERRED ETF	99.94%	---
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Fund performance assumes that dividends (before taxes) are reinvested in the fund. NAV value is calculated after deducting trust fees. In the graph, the value of (ICE BofA Diversified Core U.S. Preferred Securities Index) is modified to match the fund NAV at the time of inception. The performance data quoted represents past performance and does not guarantee future results. Investment return and principal value of an investment will fluctuate so that an investor's shares, when sold or redeemed, may be worth more or less than their original cost. High short term performance of the fund is unusual and investors should not expect such performance to be repeated.

GLOBAL X

Global X Japan Co. Ltd. Registration No. : Director of Kanto Local Finance Bureau (Financial Instruments Firms) No.3174 Member of Japan Investment Advisers Association Member of The Investment Trusts Association, Japan

Sanno Park Tower 21F, 2-11-1, Nagatacho, Chiyoda-ku, Tokyo



## Concept & Key Points

1. Invest in the Global X U.S. Preferred ETF (PFFD) which is listed in the U.S.

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2. Focus on preferred securities in the U.S., an asset class that has historically offered high yield potential

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3. Regular income by monthly distribution

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4. PFFD's expense ratio is less than half the competitor average\*

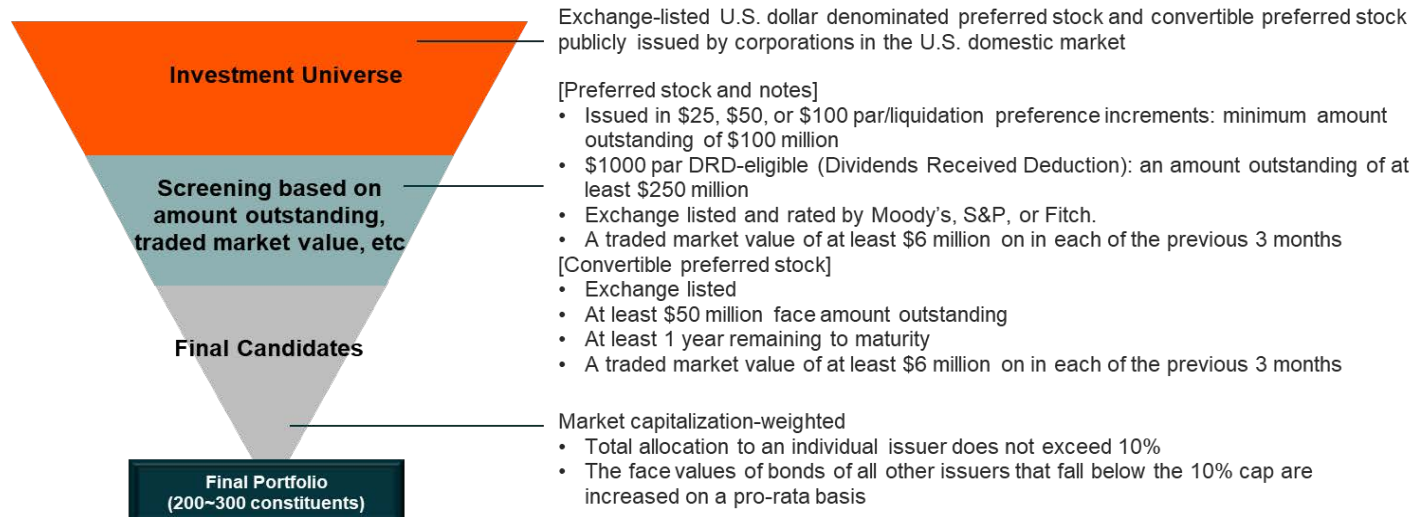
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5. Reconstitute and Rebalance portfolio quarterly (March, June, September, December)

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\*Expense ratio was 52.4% lower than the competitor average net expense ratio as of 1/18/24, per ETF.com (category: "Fixed Income: U.S. Corporate, Preferred")

## Index construction process



Reconstitution and Rebalancing quarterly (March, June, September, December)

### Investment Risks

The Fund will invest in financial instruments whose prices fluctuate and as a result the NAV per unit of the Fund will also fluctuate. Therefore the invested amount will not be guaranteed and a loss of principal may be caused. All the gains and losses accrued to the trust assets will be attributable to the investors. Investment trusts are not deposits.

Major factors to cause fluctuation of NAV per unit:

Price Volatility risks / Credit risks (Preferred Securities Price Volatility), Exchange risks, Country risks, Other risks

The performance of the NAV may not completely match that of the index. The factors to cause fluctuation of NAV per unit are not limited to those mentioned above. Please read "Investment Risks" in the fund's Prospectus describing in detail.

### Fee Structure

<Fees directly charged to customers>

Subscription commission: Determined by the Distributor. (Subscription Commission is a consideration for explanation of products, provision of product information and investment information, and execution of application at the time of the subscription of the Fund)

Redemption Fee: Nil

Exchange commission: Determined by the Distributor.

(Exchange Commission is a consideration for administrative procedures for exchange of units of the Fund.)

<Fees indirectly charged during holding period>

Management Fee (Trust Fee):

Trust fee is calculated daily as sum of 1 and 2 as shown below during the holding period.

1. The amount obtained by multiplying the total net assets of the trust assets by the ratio up to 0.0275% per annum (0.025% before tax).

2. The amount obtained by multiplying lending charges due to lending of stocks held in the trust assets by up to 55% (50% before tax).

Trust fees, etc. of the invested funds: Approximately 0.23% per annum

The approximate actual trust fee rate including trust fees, etc. of the invested funds is as follows.

Actual trust fee rate: Approximately 0.2575% (including tax) per annum of total net assets

Other Expenses and Brokerage Commissions

Fund Listing Expenses and Annual Fees for Use of Trademarks of the Index with tax levied on them can be borne by the unitholders, and can be paid from the trust assets.

Commissions associated with securities transactions (including brokerage commissions on trade of securities, fees for future transactions and option transactions) and auditing fees shall be charged to the trust assets.

※As expenses for brokerage commissions on trade of securities, etc. shall vary depending on circumstances, specific rate of fees or the maximum amounts thereof cannot be disclosed in advance.

※Please see further details described under "Expenses and Taxes of the Fund" in the Prospectus.

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