

2849

# Global X Morningstar Japan High Dividend ESG ETF

## FUND OBJECTIVE

The Global X Morningstar Japan High Dividend ESG ETF seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Morningstar® Japan Sustainability Dividend Yield Focus Index<sup>SM</sup>.

## KEY FEATURES



### Quality-Dividend

The Global X Morningstar Japan High Dividend ESG ETF invests in 25 high dividend yielding stocks, after screening for controversy (corporate misconduct), liquidity, ESG ratings, dividend payment performance, and financial health.



### ESG Investing

Based on the dividend amount and the ESG rating of the portfolio, the final constituents and composition ratio will be determined.



### Quarterly Distributions

The Global X Morningstar Japan High Dividend ESG ETF makes distributions on a quarterly basis.

As of 4/30/2024



## FUND DETAILS

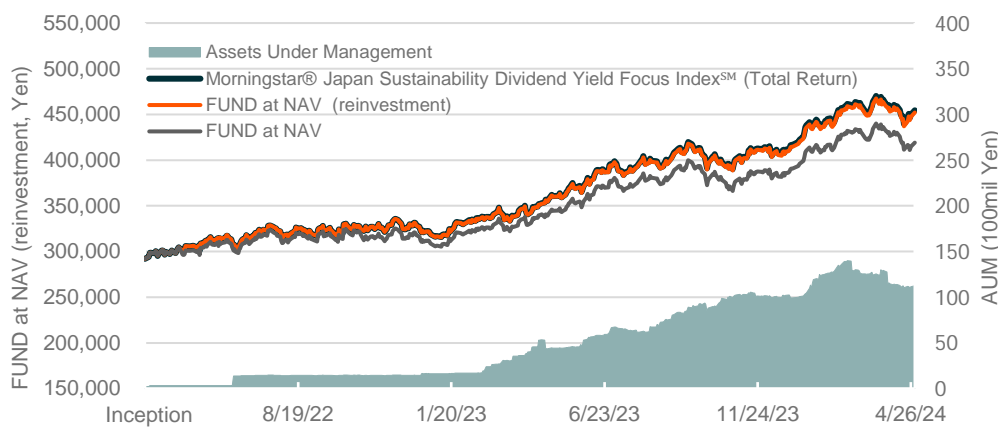
Inception Date	3/22/22
Underlying Index	Morningstar® Japan Sustainability Dividend Yield Focus Index <sup>SM</sup>
Number of Holdings	25
Assets Under Management	¥ 112.50(100mil)
NAV (per 100 units)	¥ 418,991
Management Fee	0.3025% per annum (0.275% before tax)
Distribution Frequency	Quarterly
Closing date	1/24, 4/24, 7/24, 10/24

## TRADING DETAILS

Securities code	2849
ISIN	JP3049560000
Exchange	Tokyo Stock Exchange
Bloomberg INAV Ticker	2849JPV
Index Ticker	MSJSDYPJ

## ASSETS UNDER MANAGEMENT / FUND PERFORMANCE CHART

(3/22/2022 ~ 4/30/2024)



## PREVIOUS 12-PERIODS DISTRIBUTIONS

per 100 units, before taxes (Yen)

4/24/22	4,900
7/24/22	700
10/24/22	4,300
1/24/23	400
4/24/23	3,600
7/24/23	400
10/24/23	5,300
1/24/24	500
4/24/24	6,400

Total Distribution Since Inception 26,500

## PERFORMANCE

	One Month	Year to Date	One Year	Three Year	Since Inception
FUND at NAV	-4.00 %	+7.23 %	+22.58 %	-----	+43.49 %
FUND at NAV (reinvestment)	-2.52 %	+9.01 %	+26.54 %	-----	+54.79 %
Morningstar® Japan Sustainability Dividend Yield Focus Index <sup>SM</sup> (Total Return)	-2.52 %	+9.08 %	+26.99 %	-----	+55.66 %

## TOP 10 HOLDINGS

	% of AUM	
	Total : 79.49%	
TOKYO ELECTRON LTD	13.99%	KDDI CORP 8.57%
KIRIN HOLDINGS CO LTD	9.63%	ASTELLAS PHARMA INC 7.51%
SOFTBANK CORP	9.58%	NISSAN CHEMICAL CORP 5.91%
TAKEDA PHARMACEUTICAL CO LTD	9.20%	HIROSE ELECTRIC CO LTD 3.83%
DAITO TRUST CONSTRUCT CO LTD	9.01%	NIHON M&A CENTER HOLDINGS IN 2.27%

Fund performance assumes that dividends (before taxes) are reinvested in the fund. NAV value is calculated after deducting trust fees. In the graph, the value of (Morningstar® Japan Sustainability Dividend Yield Focus Index<sup>SM</sup>) is modified to match the fund NAV at the time of inception. The performance data quoted represents past performance and does not guarantee future results. Investment return and principal value of an investment will fluctuate so that an investor's shares, when sold or redeemed, may be worth more or less than their original cost. High short term performance of the fund is unusual and investors should not expect such performance to be repeated.

GLOBAL X

Global X Japan Co. Ltd. Registration No. : Director of Kanto Local Finance Bureau (Financial Instruments Firms) No.3174 Member of Japan Investment Advisers Association Member of The Investment Trusts Association, Japan

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## INDUSTRY BREAKDOWN

% of AUM



Information & Communication	18.15%
Electric Appliances	17.82%
Pharmaceutical	16.70%
Foods	9.63%
Real Estate	9.01%
Chemicals	7.82%
Services	6.30%
Wholesale Trade	4.30%
Other Products	3.32%
Others*	6.95%

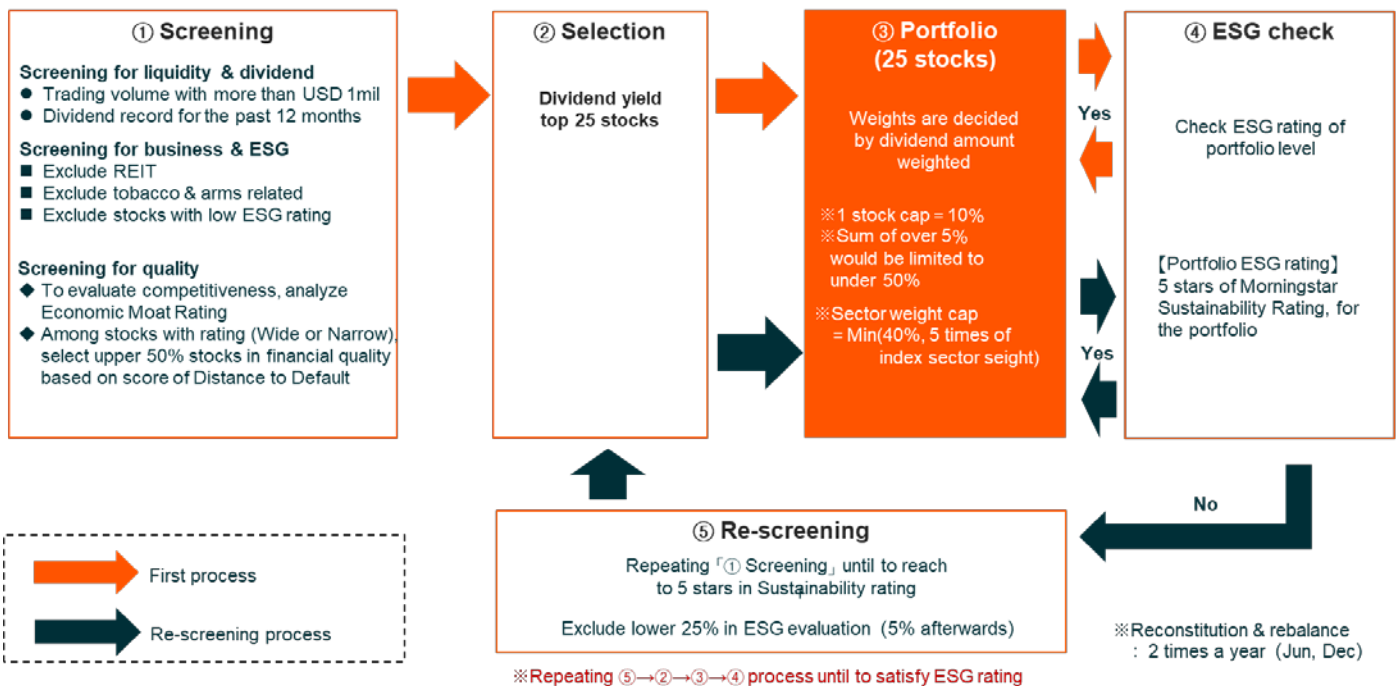
\*Others include cash and cash equivalents.

## Concept &amp; Key Points

1. First ever Japanese ETF focusing on high dividend and ESG simultaneously
2. Select stocks based on dividend yield, ESG, and market competitiveness factors
3. Utilize portfolio-level ESG risk guardrail
4. Adopt dividend-dollar-weighting
5. Reconstitution and rebalancing portfolio, twice a year in June and December

## Index construction process

Investment universe : Morningstar Japan Large-Mid Cap (approx. 500-600)



### Investment Risks

The Fund will invest in financial instruments whose prices fluctuate and as a result the NAV per unit of the Fund will also fluctuate. Therefore the invested amount will not be guaranteed and a loss of principal may be caused. All the gains and losses accrued to the trust assets will be attributable to the investors. Investment trusts are not deposits.

Major factors to cause fluctuation of NAV per unit:

Price Volatility risks / Credit risks (Stock Price Volatility), Other risks

The performance of the NAV may not completely match that of the index. The factors to cause fluctuation of NAV per unit are not limited to those mentioned above. Please read "Investment Risks" in the fund's Prospectus describing in detail.

### Fee Structure

<Fees directly charged to customers>

Subscription commission: Determined by the Distributor. (Subscription Commission is a consideration for explanation of products, provision of product information and investment information, and execution of application at the time of the subscription of the Fund)

Redemption Fee: Nil

Exchange commission: Determined by the Distributor.

(Exchange Commission is a consideration for administrative procedures for exchange of units of the Fund.)

<Fees indirectly charged during holding period>

Management Fee (Trust Fee):

Trust fee is calculated daily as sum of 1 and 2 as shown below during the holding period.

1. The amount obtained by multiplying the total net assets of the trust assets by the ratio up to 0.3025% per annum (0.275% before tax).
2. The amount obtained by multiplying lending charges due to lending of stocks held in the trust assets by up to 55% (50% before tax).

Other Expenses and Brokerage Commissions

Fund Listing Expenses and Annual Fees for Use of Trademarks of the Index with tax levied on them can be borne by the unitholders, and can be paid from the trust assets.

Commissions associated with securities transactions (including brokerage commissions on trade of securities, fees for future transactions and option transactions) and auditing fees shall be charged to the trust assets.

※As expenses for brokerage commissions on trade of securities, etc. shall vary depending on circumstances, specific rate of fees or the maximum amounts thereof cannot be disclosed in advance.

※Please see further details described under "Expenses and Taxes of the Fund" in the Prospectus.

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