

2236

# Global X S&P 500 Dividend Aristocrats ETF

As of 3/29/2024



## FUND OBJECTIVE

The Global X S&P 500 Dividend Aristocrats ETF seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the S&P 500 Dividend Aristocrats (JPY converted).

## KEY FEATURES



### High Income Potential

The Global X S&P 500 Dividend Aristocrats ETF invests in blue-chip large-cap stocks that increased their dividends over 25 consecutive years, out of the S&P 500 index constituents.



### Stable Growth Based on Strong Fundamentals

Companies with stable cashflow based on increasing profit can increase dividends consecutively.



### Bimonthly Distributions

The Global X S&P 500 Dividend Aristocrats ETF makes distributions on a bimonthly basis.

## FUND DETAILS

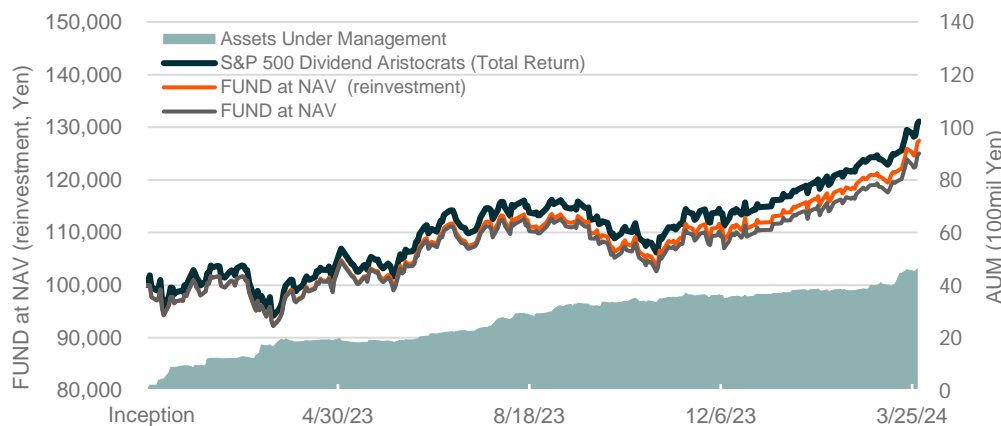
Inception Date	1/11/23
Underlying Index	S&P 500 Dividend Aristocrats
Number of Holdings	68
Assets Under Management	¥ 46.26(100mil)
NAV (per 100 units)	¥ 125,032
Management Fee	0.3025%per annum (0.275% before tax)
Distribution Frequency	Bimonthly
Closing date	1/24,3/24,5/24, 7/24,9/24,11/24

## TRADING DETAILS

Securities code	2236
ISIN	JP3049790003
Exchange	Tokyo Stock Exchange
Index Ticker	SPDAUDP

## ASSETS UNDER MANAGEMENT / FUND PERFORMANCE CHART

(1/11/2023~3/29/2024)



## PREVIOUS 12-PERIODS DISTRIBUTIONS

per 100 units, before taxes (Yen)	
3/24/23	200
5/24/23	300
7/24/23	300
9/24/23	300
11/24/23	300
1/24/24	300
3/24/24	400
Total Distribution Since Inception	
	2,100

## PERFORMANCE

	One Month	Year to Date	One Year	Three Year	Since Inception
FUND at NAV	+5.29 %	+13.23 %	+27.99 %	-----	+25.03 %
FUND at NAV (reinvestment)	+5.63 %	+13.90 %	+30.18 %	-----	+27.45 %
S&P 500 Dividend Aristocrats (Total Return)	+5.71 %	+14.14 %	+31.31 %	-----	+31.11 %

## TOP 10 HOLDINGS

		% of AUM	
		Total : 22.23%	
PROSHARES S&P 500 DIVIDEND A	8.53%	EMERSON ELECTRIC CO	1.51%
TARGET CORP	1.60%	DOVER CORP	1.51%
CATERPILLAR INC	1.59%	ARCHER-DANIELS-MIDLAND CO	1.50%
LOWE'S COS INC	1.52%	ECOLAB INC	1.48%
PENTAIR PLC	1.52%	WW GRAINGER INC	1.47%

Fund performance assumes that dividends (before taxes) are reinvested in the fund. NAV value is calculated after deducting trust fees. In the graph, the value of (S&P 500 Dividend Aristocrats) is modified to match the fund NAV at the time of inception. The performance data quoted represents past performance and does not guarantee future results. Investment return and principal value of an investment will fluctuate so that an investor's shares, when sold or redeemed, may be worth more or less than their original cost. High short term performance of the fund is unusual and investors should not expect such performance to be repeated.

# GLOBAL X

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## INDUSTRY BREAKDOWN

% of AUM



● Industrials	22.29%
● Consumer Staples	22.03%
● Materials	10.95%
● Health Care	9.34%
● Financials	9.34%
● Consumer Discretionary	4.08%
● Utilities	4.05%
● Real Estate	3.82%
● Energy	2.84%
● Others*	11.26%

\*Others include cash, cash equivalents, and ETF.

## Concept &amp; Key Points

1. Focus on stocks in the S&P 500 Index that have increased dividends for more than 25 consecutive years
2. Expect to earn stable income regardless of economic condition
3. Risk management process by equally weighted and sector diversified portfolio
4. Distribute dividend in every 2 months (6 times a year)

## Index construction process

## Universe : S&amp;P 500

## Screening with Eligibility Criteria

- Extracting stocks satisfying below from S&P 500 constituents
- Increasing total dividend per share :  $\geq 25$  consecutive years
  - Market Cap :  $\geq 3$  billion USD
  - Average daily value traded :  $\geq 5$  million USD

## Selecting Constituents

- ① If the number of constituent stocks is fewer than 40, the S&P 500 constituents with history of increased dividends of more than 20 consecutive years, also satisfying the criteria on market cap and liquidity, are added in decreasing order of dividend yield.
- ② If the number of constituent stocks is still fewer than 40 after step①, the remaining constituents of the S&P 500 satisfying the criteria on market cap and liquidity are added in decreasing order of dividend yield.
- ③ If a single sector is accounting for more than 30% weight after step ①&②, the weighting will be adjusted by adding stocks from the remaining constituents of the S&P 500 that meet the market cap and liquidity criteria, based on the number of years of consecutive dividend increases and dividend yields, until the weight of any single sector does not exceed 30%.

## Constituent Weightings

- Constituents are equally weighted, each GICS sector is capped at 30%
  - Rebalancing quarterly (January, April, July and October)
  - Reconstitution annually (January)
- ※Constituents deleted from the S&P 500 are removed from the index.

Portfolio  
Min 40 constituents

### Investment Risks

The Fund will invest in financial instruments whose prices fluctuate and as a result the NAV per unit of the Fund will also fluctuate. Therefore the invested amount will not be guaranteed and a loss of principal may be caused. All the gains and losses accrued to the trust assets will be attributable to the investors. Investment trusts are not deposits.

Major factors to cause fluctuation of NAV per unit:

Price Volatility risks / Credit risks (Stock Price Volatility), Exchange risks, Country risks, Other risks

The performance of the NAV may not completely match that of the index. The factors to cause fluctuation of NAV per unit are not limited to those mentioned above. Please read "Investment Risks" in the fund's Prospectus describing in detail.

### Fee Structure

<Fees directly charged to customers>

Subscription commission: Determined by the Distributor. (Subscription Commission is a consideration for explanation of products, provision of product information and investment information, and execution of application at the time of the subscription of the Fund)

Redemption Fee: Nil

Exchange commission: Determined by the Distributor.

(Exchange Commission is a consideration for administrative procedures for exchange of units of the Fund.)

<Fees indirectly charged during holding period>

Management Fee (Trust Fee):

Trust fee is calculated daily as sum of 1 and 2 as shown below during the holding period.

1. The amount obtained by multiplying the total net assets of the trust assets by the ratio up to 0.3025% per annum (0.275% before tax).

2. The amount obtained by multiplying lending charges due to lending of stocks held in the trust assets by up to 55% (50% before tax).

Other Expenses and Brokerage Commissions

Fund Listing Expenses and Annual Fees for Use of Trademarks of the Index with tax levied on them can be borne by the unitholders, and can be paid from the trust assets.

Commissions associated with securities transactions (including brokerage commissions on trade of securities, fees for future transactions and option transactions) and auditing fees shall be charged to the trust assets.

※As expenses for brokerage commissions on trade of securities, etc. shall vary depending on circumstances, specific rate of fees or the maximum amounts thereof cannot be disclosed in advance.

※Please see further details described under "Expenses and Taxes of the Fund" in the Prospectus.

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